



Application No. 09/936,840  
Docket No. 741890-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Damien ROSNEY et al. ) Group Art Unit: 3731  
Application No. 09/936,840 ) Examiner: Vi X. Nguyen  
Filed: January 7, 2002 ) Confirmation No. 1494  
For: A SURGICAL ACCESS DEVICE ) October 19, 2005

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

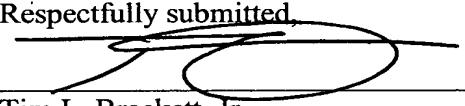
Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	741890-18
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### Complete if Known

Application Number	09/936,840
Filing Date	January 7, 2002
First Named Inventor	Damien ROSNEY et al.
Art Unit	3731
Examiner Name	Vi X. Nguyen

### U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
1	US-2001/0037053A1	11-01-2001	Bonadio et al.		
2	US-1,157,202	10-19-1915	Bates et al.		
3	US-3,347,227	08-17-1967	H. W. Harrower		
4	US-4,984,564	01-15-1991	Yuen		
5	US-5,159,921	11-03-1992	Hoover		
6	US-5,213,114	05-25-1993	Bailey, Jr.		
7	US-5,514,133	05-07-1996	Golub et al.		
8	US-5,522,791	06-04-1996	Leyva		
9	US-5,524,644	06-11-1996	Crook		
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12	US-5,649,550	07-22-1997	Crook		
13	US-5,810,721	09-22-1998	Mueller et al.		
14	US-5,871,474	02-16-1999	Hermann et al.		
15	US-5,906,577	05-25-1999	Beane et al.		
16	US-6,033,426	03-07-2000	Kaji		
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18	US-6,077,288	06-20-2000	Shimomura et al.		
19	US-6,142,935	11-07-2000	Flom et al.		
20	US-6,149,642	11-21-2000	Gerhart et al.		
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22	US-6,254,534B1	07-03-2001	Butler et al.		
23	US-6,276,661B1	08-21-2001	Laird		
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25	US-6,440,063 B1	08-27-2002	Beane et al.		
26	US-6,450,983 B1	09-17-2002	Rambo		

### FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
27	WO 99/25268		05-27-1999	Bonadio		
28	WO 01/08581 A2		02-08-2001	Caldwell et al.		
29	WO 00/32120 A1		06-08-2000	Bonadio et al.		
30	WO 00/35356 A1		06-22-2000	Bonadio et al.		
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32	WO 02/34108 A2		05-02-2002	Ewers et al.		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.